

**UNITED STATES DISTRICT COURT**

MIDDLE DISTRICT OF ALABAMA  
OFFICE OF THE CLERK  
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DEBRA P. HACKETT, CLERK

TELEPHONE (334) 954-3600

March 16, 2007

**NOTICE OF DEFICIENCY  
REGARDING CORPORATE/  
CONFLICT STATEMENT**

**To:** Attorney Stewart

**From:** Clerk's Office

**Case Style:** Austin v. Modern Woodman of America et al

**Case Number:** 3:07-cv-00138-MHT

Notice is hereby given that pursuant to the Federal Rules of Civil Procedure 7.1, and this Court's General Order Miscellaneous Case No. 00-3047 parties are required to file their Corporate/Conflict Disclosure Statement at their initial appearance.

**No corporate/conflict disclosure statement has been filed by you in this action.**

This deficiency must be corrected within ten (10) days from this date. Please refer to attachment.

IN THE UNITED STATES DISTRICT COURT  
FOR THE MIDDLE DISTRICT OF ALABAMA

Civil Misc. No 00-3047

**ORDER**

**CONFLICT DISCLOSURE STATEMENT**

In compliance with Fed. R. App. P. 26.1, Fed. R. Bankr. P. 1007(a)(1) and 7007.1, Fed R. Civ. P. 7.1, and Fed. R. Crim. P. 12.4, which require the judges to avoid conflicts of interest with unnamed corporations, partnerships, joint ventures, and other non-individual and non-governmental entities which may be related to parties to actions in this court, it is hereby ORDERED that this court's order (Doc. #1), entered January 14, 2000 is hereby VACATED. It is further ORDERED as follows:

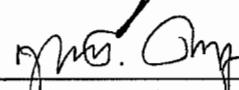
1. All corporate, partnership, joint venture, and other non-individual and non-governmental parties shall file a statement identifying all parent companies, subsidiaries, affiliates, partnerships, or similar entities that could potentially pose a financial or professional conflict for a judge. The statement shall be filed with a party's first appearance. This requirement does not apply to governmental or individual parties.
2. In addition to this requirement which applies to all cases, including bankruptcy appeals, all bankruptcy appeals shall be accompanied by a statement identifying the debtor, the members of creditors' committee, any entity which is an active participant in the proceedings, and other entities whose stock or equity value may be substantially affected by the outcome of the proceedings.
3. If no entities are reportable under paragraphs #1 or #2 of this order, each party is required to file a statement to that effect contemporaneously with the party's first appearance.

4. It is the responsibility of the applicable party to a case to file a supplemental disclosure statement if, during the pendency of the case, additional reportable entities develop that would have been reportable initially.

5. This rule shall become effective on MARCH 1, 2007, and shall apply to all cases pending in this court after that date.

DONE this 16<sup>th</sup> day of FEBRUARY, 2007

  
\_\_\_\_\_  
CHIEF UNITED STATES DISTRICT JUDGE

  
\_\_\_\_\_  
UNITED STATES DISTRICT JUDGE

  
\_\_\_\_\_  
UNITED STATES DISTRICT JUDGE

**\*\*SAMPLE NOTICE FORMAT\*\***

**Note:** When E-Filing this pleading, please enter a separate docket entry. The event entry is located on CM/ECF by clicking: CIVIL > OTHER DOCUMENTS > CORPORATE DISCLOSURE STATEMENT

IN THE UNITED STATES DISTRICT COURT  
FOR THE MIDDLE DISTRICT OF ALABAMA  
\_\_\_\_\_  
DIVISION

\_\_\_\_\_,      )  
Plaintiff,    )  
              )      CASE NO. \_\_\_\_\_  
v.            )  
              )  
\_\_\_\_\_,      )  
Defendants,   )

**CORPORATE/CONFLICT DISCLOSURE STATEMENT**

In accordance with the order of this Court, the undersigned party or parties to the above-captioned matter do hereby make the following disclosure concerning parent companies, subsidiaries, affiliates, partnership, joint ventures, debtor, creditors' committee, or other entities reportable under the provisions of the Middle District of Alabama's General Order No. 3047:

There are no entities to be reported.

- or -

The following entities are hereby reported:

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\_\_\_\_\_  
Date                          Signature  
                                Counsel

\_\_\_\_\_  
Counsel for (print names of all parties)

\_\_\_\_\_  
Address, City, State Zip Code

\_\_\_\_\_  
Telephone Number

\*\*\*Certificate of Service Required